

1746  
JC05 Rec. PCT/PTO 27 JUL 2001 #3

CERTIFICATE OF MAILING (37 CFR 1.10)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

EXPRESS MAIL Label Number: EF 232849301 US

David Friedman  
(Type or print name of person mailing paper)

Date: July 27, 2001

[Signature]  
(Signature of person mailing paper)

MCGEPO179WO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
OFFICE OF PCT LEGAL ADMINISTRATION

In re patent application of:

Dickson L. Whitney Jr., et al.

Art Unit: Unknown

Express Mail No: EF 232849709 US

Examiner: Unknown

Filed: July 23, 2001

For: IMPROVED ADHESION OF POLYMERIC MATERIALS TO METAL SURFACES

REQUEST FOR CORRECTION OF PRIORITY  
CLAIM UNDER PCT RULE 26bis.1

Assistant Commissioner for Patents  
Patent Cooperation Treaty Legal Administration and Affairs  
Box PCT  
Washington, D.C. 20231

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AUG - 1 2001  
TC 1700 MAIL ROOM

Sir:

The above-identified PCT application was filed on July 23, 2001. The application papers identified the wrong U.S. serial number for the priority application. Please correct the serial number of the U.S. priority application so that it correctly reads 09/628,036.

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MAR 15 2002  
TC 1700

37 C.F.R. § 1.451 provides that the priority claim and priority document in an international application may be corrected pursuant to PCT Rule 26bis.1. The applicant requests that the PCT Office of Legal Administration make this correction so that the priority application serial number is correct in the PCT application. The serial number was incorrectly identified in the PCT application due to a mistake by the PTO. The priority application was filed on July 27, 2000. The serial number shown on the return receipt postcard received by our office on August 9, 2000 was 09/627,436. A copy of the return postcard is enclosed herewith marked as "Exhibit A." This serial number was relied on and entered in the firm's docket system.

Subsequently, a filing receipt was received on September 25, 2000, showing serial number 09/628,036 for this U.S. application. A copy of the original filing receipt is enclosed and marked as "Exhibit B."

Believing the serial number on the filing receipt to be incorrect, we submitted a "Request for Corrected Filing Receipt" to the PTO on September 26, 2000. A copy of the request is enclosed and marked as "Exhibit C." No response was received from the request.

On July 23, 2001, the above-identified PCT application was filed in the PCT U.S. Receiving Office, claiming priority to the erroneous U.S. serial number 09/627,436.

On July 26, 2001, the PTO issued the filing receipt attached as "Exhibit D." The filing receipt indicates the serial number for the U.S. application to be **09/628,036** and indicates a filing date of **July 27, 2000.**

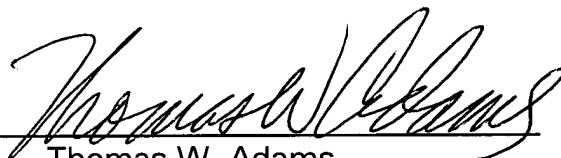
The submitted PCT application already contains the correct date for the priority application but the serial number must be corrected. For the convenience of the PCT U.S. Receiving Office, we have included a copy of the PCT application transmittal papers as originally filed with the correct priority serial number in red ink. This document is marked as "Exhibit E."

**Docket No. MCGEP0179WO**

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For the forgoing reasons, kindly enter the corrected serial number 09/628,036 on the application so that proper priority is given to the PCT application per PCT Rule 26bis.1.

Respectfully submitted,  
RENNER, OTTO, BOISSELLE & SKLAR, LLP

By   
Thomas W. Adams

Reg. No. 35,047


1621 Euclid Avenue  
Nineteenth Floor  
Cleveland, Ohio 44115  
(216) 621-1113

\\Secretary\_109\SEC109\_DRVC\109\Adams-Tom\mcge179.serialno.let.wpd

# EXHIBIT A

Applicant <u>Whitney Jr. et al</u>		Case No. <u>MCGEPO179US</u>
Serial No. _____	Filing Date _____	Atty <u>APB</u>
Title <u>Improved Adhesion of Polymeric Materials to Metal Surfaces</u>		
Mailed <u>7/27/00</u>	Due Date <u>N/A</u>	Ex. Mail No. <u>EJ464626561US</u>
(X) Patent Application ( <input checked="" type="checkbox"/> New <input type="checkbox"/> CON <input type="checkbox"/> DIV <input type="checkbox"/> CIP <input type="checkbox"/> CPA <input type="checkbox"/> PCT <input type="checkbox"/> Provisional )		
<input checked="" type="checkbox"/> Transmittal/Request	<input checked="" type="checkbox"/> Declaration/Power of Atty	<input type="checkbox"/> Issue Fee Trans.
<u>90</u> Pages of Specification	<input checked="" type="checkbox"/> Assignment & Cover Sheet	<input type="checkbox"/> Main. Fee Trans.
<u>6</u> Sheets of Drawings	<input checked="" type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Ltr. Off. Draftsman
<input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> IDS/1449/Refs.	<input type="checkbox"/> Notice of Appeal
<u>1</u> Pages of Abstract	<input type="checkbox"/> Priority Document(s)	<input type="checkbox"/> Appeal Brief
( ) Amendment/Response	(X) Checks \$ <u>952.00</u>	\$ _____
<input type="checkbox"/> Transmittal <input type="checkbox"/> Ext. of Time	\$ _____	\$ _____
( ) Trademark/Service App.		
<input type="checkbox"/> Specimens <input type="checkbox"/> St. of Use		
(X) Other <u>Certificate of Mailing</u>		

0836 U.S. PRO  
09/627436  
07/27/00



RECEIPT ACKNOWLEDGED



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/628,036	07/27/2000	1746	912	MCGEP0179US	6	83	3

Armand P Boisselle ✓  
Renner Otto Boisselle & Sklar L L P  
1621 Euclid Avenue 19th Floor  
Cleveland, OH 44115

## FILING RECEIPT



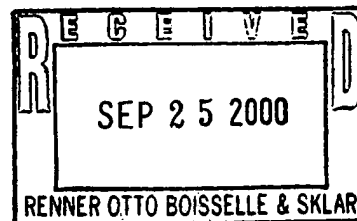
\*OC000000005411756\*

Date Mailed: 09/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dickson L. Whitney JR., Gates Mills, OH ;  
George S. Bokisa, North Olmsted, OH ;  
Craig V. Bishop, Lakewood, OH ;  
Americus C. Vitale, West Chester, PA ;



## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 09/19/2000

\*\* SMALL ENTITY \*\*

## Title

Adhesion of Polymeric materials to metal surfaces

## Preliminary Class

216

Data entry by : BURNS, ERIC

Team : OIPE

Date: 09/20/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Claudia Bader

(Type or print name of person mailing paper)

Date: September 26, 2000

Claudia Bader

(Signature of person mailing paper)

MCGEPO179US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Dickson L. Whitney Jr., et al.

Serial No: 09/627,436

Filed: July 27, 2000

:  
:  
:  
:  
:  
:

Art Unit: 1746

Examiner: Unknown

For: IMPROVED ADHESION OF POLYMERIC MATERIALS TO METAL SURFACES

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

RECEIVED  
AUG - 1 2001  
T-1700 MAIL ROOM

Sir:

Applicants have received a Filing Receipt for the above-captioned application. A few errors have been noticed.

The Application Number should read as follows:

09/627,436

Enclosed is a copy of the return receipt postcard with the USPTO date stamp.



The Title should read as follows:

IMPROVED ADHESION OF POLYMERIC MATERIALS TO METAL SURFACES

Enclosed is a copy of the Filing Receipt with the changes noted thereon.  
Applicants respectfully request a corrected Filing Receipt.

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By Armand P. Boisselle  
Armand P. Boisselle  
Reg. No. 22,381

1621 Euclid Avenue  
Nineteenth Floor  
Cleveland, Ohio 44115  
(216) 621-1113

07/27/00



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/628,036	07/27/2000	1746	912	MCGEPO179US	6	83	3

09/627,436

Armand P Boisselle ✓  
Renner Otto Boisselle & Sklar L L P  
1621 Euclid Avenue 19th Floor  
Cleveland, OH 44115

## FILING RECEIPT



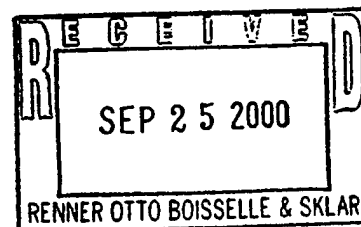
\*OC000000005411756\*

Date Mailed: 09/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dickson L. Whitney JR., Gates Mills, OH ;  
George S. Bokisa, North Olmsted, OH ;  
Craig V. Bishop, Lakewood, OH ;  
Americus C. Vitale, West Chester, PA ;



## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 09/19/2000

\*\* SMALL ENTITY \*\*

Title

Improved Adhesion of Polymeric materials to  
metal surfaces  
Adhesion of Polymeric materials to metal surfaces

## Preliminary Class

216

Data entry by : BURNS, ERIC

Team : OIPE

Date: 09/20/2000



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

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**PLEASE NOTE the following information about the Filing Receipt:**

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- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/628,036	07/27/2000	1746	912	MCGEP0179US	6	83	3

Armand P Boisselle  
Renner Otto Boisselle & Sklar L L P  
1621 Euclid Avenue 19th Floor  
Cleveland, OH 44116

CONFIRMATION NO. 2535  
REPLACEMENT FILING RECEIPT  
\*OC000000006347653\*

Date Mailed: 07/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dickson L. Whitney JR., Gates Mills, OH;  
George S. Bokisa, North Olmsted, OH;  
Craig V. Bishop, Lakewood, OH;  
Americus C. Vitale, West Chester, PA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/19/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Adhesion of Polymeric materials to metal surfaces

Preliminary Class

BEST AVAILABLE COPY

EXHIBIT E

TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE

Date	July 23, 2001
International Application No.	
Attorney, Docket No.	MCCEP0179WO

## I. Certification under 37 CFR 1.10 (If applicable)

EF232849709US
Express Mail mailing number

July 23, 2001
Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231.

<i>Claudia Bader</i>
Signature of person mailing correspondence

Claudia Bader
Typed or printed name of person mailing correspondence

II. ☒ New International Application

TITLE	IMPROVED ADHESION OF POLYMERIC MATERIALS TO METAL SURFACES	Earliest priority date (Day/Month/Year)
		27/07/00

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.  
 B. ☐ There is no prior U.S. application relating to this invention.  
 C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority)

application no.	<del>09/627,436</del> 09/628,036	filed on	27 July 2000 (27/07/00)
application no.		filed on	

- D. ☒ The present international application ☒ is identical to ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph 3 above.  
 E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter is found on pages  and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U. S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response  
 B. ☐ A Power of Attorney (General or Regular)  
 C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. ☐ Submission of Priority Documents

Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT Rule 91 ☐ A Petition ☐ A Sequence Listing DisketteV. ☐ Other (please identify):The person  
signing this  
form is the:

<input type="checkbox"/> Applicant	Thomas W. Adams
<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 35,047	Typed name of signer
<input type="checkbox"/> Common Representative	<i>Thomas W. Adams</i> Signature